

# APPENDIX 1



## AUDIT PANEL WORK PLAN 2023/24

Item	Lead	Aug 2023	Sep 2023	Nov 2023	Jan 2024	March 2024	Comments
<b>Finance</b>							
Annual Treasury Report / Prudential Indicators	S151	✓					
Treasury Update	S151			✓	✓		
Draft Statement of Accounts	S151	✓					2022/23
Audited Statement of Accounts for Approval	S151	✓			✓	✓	2020/21 (Aug 23), 2021/22 (Jan 24), 2022/23 (Mar 24)
Annual Report of Exceptions to Contract Procedure Rules	S151/MO				✓		
CIPFA Financial Management Code / Local Audit Update	S151				✓		Only relevant if updates to guidance
<b>Internal Audit</b>							
Chair of the Committee's Annual Report	HoA	✓					
Internal Audit Annual Report	HoA	✓					
Internal Audit Plan, Charter & Protocol	HoA	✓*				✓	*Refreshed Plan
Internal Audit Progress Report	HoA			✓	✓	✓	
Public Sector Internal Audit Standards external review	HoA					✓	
Quality Assurance & Improvement Programme	HoA					✓	
Counter Fraud Update Report Including Counter Fraud & Corruption / Whistleblowing / Anti Money Laundering / Prevention of Tax Evasion Policies	HoA				✓		

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<b>Governance &amp; Performance</b>							
Annual Governance Statement	S151/HoA	✓					
Annual Governance Statement – Actions Follow Up	S151/HoA			✓			
Code of Corporate Governance Review	S151/HoA	*					*To be presented with the AGS
Information Governance Policies e.g., Data Protection, GDPR	HoA					✓	
Annual Investigatory Powers Act Report	MO					✓	
CIPFA Audit Committee Guidance and Effectiveness	HoA			✓			
Assurance Reports from Other Assurance Providers e.g., OFSTED, CQC etc.							As and when received
<b>Risk Management</b>							
Risk Management Policy / Review	HoA			✓*			*Included in risk update
Quarterly Risk Management Updates	HoA	✓		✓	✓	✓	
<b>External Audit</b>							
Tameside Council Audit Completion Report	EA	✓			✓	✓	
Greater Manchester Pension Fund Audit Completion Report	EA	✓		✓	✓	✓	
Tameside Council Audit Strategy Memorandum	EA	✓			✓		
Private Meeting with the Internal and External Auditors	EA/HoA	✓	✓	✓	✓	✓	
External Audit Appointment	S151	✓					
<b>Audit Panel Work Programme / Training</b>							
Audit Panel Work Programme		✓	✓	✓	✓	✓	At every meeting
Audit Committee Training – Webinar (Diana Melville, CIPFA)	CIPFA						Held on 8 August 2023 via webinar.

S151 = Director of Resources / S151, HoA = Head of Assurance, EA = External Auditor (Mazars), MO = Monitoring officer